


LIFE SETTLEMENT DUE DILIGENCE CHECKLIST

Please check off the following due diligence you are providing upon case submission:

	DUE DILIGENCE	STATUS
	Completed CFS Quick Quote (<i>prequalification</i>)	
	Completed CFS Exchange Life Settlement Application	
	Medical Records (<i>current –past 5 years</i>)	
	Signed Copy of “HIPAA Compliant Authorization”	
	Signed Copy of “Authorization for the Release of Policy Information”	
	Signed Copy of “Broker of Record” Letter	
	Signed Copy “Owner Disclosure Statement”	
	Clear Copy of Insured(s) Driver’s License	
	In-force Illustration (<i>no more than 30 days old</i>) <ul style="list-style-type: none"> ▪ Minimum Level Premiums to Age 100 ▪ Level Death Benefit ▪ Zero Cash Value at Maturity 	
	Copy of the Life Insurance Policy	
	If the policy owner is a trust, a copy of the trust agreement	
	Verification of Coverage (<i>See VOC template Attached</i>)	

NOTE: Once an offer has been accepted by the owner/insured, all of the requested information (plus any additional information the Provider requests) must be provided before a closing document can be prepared.